LESSON PLAN MBA **ELECTIVE: FINANCE**

Subject

FINANCIAL MARKETS AND SERVICES

Name of the Faculty

MR.DEBIDUTTA ACHARYA

Academic Session

2016-17

Semester

Stream

4th Semester (January to june ,2017)

MBA 2015-17

SI.No.	Lecture No.	Topic	Important Teaching Points
1	1,2	An overview of Financial system, Financial Market, Risks involved in the interface of savers and investors community, What Financial Market facilitates?	 Introduction to Financial system, its working, components and functions Categories of Financial Markets, Emerging trends in Indian Financial Markets, Liquidity, credit and interest rate risks; Provision of liquidity and mobilization of savings Players in the organized and unorganized financial market
2	3&4	Money market, institutions and instruments there in	 Call money market, TB Market Commercial bills& commercial paper market
3	5&6	Money market, institutions and instruments there in	 CD market REPO market Govt.dated security market
4	7&8	Capital market-An overview,New issue market	 Need for capital market Role of primary market prospectus kinds of issues functions of new issue market
5	9&10	Primary Market	 pricing an issue Book building process Listing procedure
6	11&12	Primary Market	 Registrar to an issue ADR,GDR,IDR SEBI guidelines for IPOS Trends in New issue market

7	13&14	Secondary market, Stock exchanges	 Role of secondary market Functions and services of Stock exchanges Organization of stock exchanges in India
8	15,16	Capital market operations	 Stock brokers Dematerialization of shares Price bands/circuit breakers Current status of the market Risk management
9	17,18	Trading Mechanism in stock exchange	 Rolling settlement Online Trading BOLT& NEAT System
10	19&20	Trading Mechanism in stock exchange	 Working of BOLT& NEAT System Mobile Trading BADLA Transactions Stock indices
11	21&22	Mutual Funds	 Origin of Mutual funds Organization& importance of Mutual funds Classification/Types of Mutual funds
12	23&24	Mutual Funds	 Mutual fund investment vs.Stock market investment Money market mutual funds NAV Advantages of Mutual funds
13	25&26	Mutual Funds- Performance evaluation	 Sharpe's model Treynor's model Jenson's model Need and importance Other parameters of performance
14	27&28	Credit Rating	 ➢ Meaning and objectives ➢ Types and Functions ➢ Benefits of credit rating Credit rating agencies
15	29&30	Credit Rating	 Credit rating process Credit rating methodology Credit rating symbols
16	31&32	Merchant Banking	 Origin, services Merchant banking in India Merchant bankers as lead managers

17	33&34	Merchant Banking	Regulatory frame work& Guidelines for Merchant Bankers
18	35&36	Venture capital	Meaning, Types, scope and Stages of venture capital financing, SEBI Guidelines
19	37&38	Venture capital & Leasing	Criteria for analyzing venture capital proposals, Types of lease, financial evaluation of leasing
20	39&40	Hire purchasing and consumer finance	Concept& financial evaluation of Hire purchase Meaning, Types and Sources of consumer finance

Books	Book Name & Author	Publisher
Text Book :	Financial Markets and services- Gordon& Natrajan	5 th edition,2011, HPH
Reference Book :	Financial services- M.Y.Khan	ТМН

Faculty

Head, MBA

PRINCIPAL